

Sharpening Local Interpretable Model-agnostic Explanations for Histopathology: Improved Understandability and Reliability

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Abstract. Being accountable for the signed reports, pathologists may be wary of high-quality deep learning outcomes if the decision-making is not understandable. Applying off-the-shelf methods with default configurations such as Local Interpretable Model-Agnostic Explanations (LIME) is not sufficient to generate stable and understandable explanations. This work improves the application of LIME to histopathology images by leveraging nuclei annotations, creating a reliable way for pathologists to audit black-box tumor classifiers. The obtained visualizations reveal the sharp, neat and high attention of the deep classifier to the neoplastic nuclei in the dataset, an observation in line with clinical decision making. Compared to standard LIME, our explanations show improved understandability for domain-experts, report higher stability and pass the sanity checks of consistency to data or initialization changes and sensitivity to network parameters. This represents a promising step in giving pathologists tools to obtain additional information on image classification models. The code and trained models are available on GitHub.

Keywords: histopathology · interpretable AI · reliable AI

1 Introduction

Convolutional Neural Networks (CNNs) can propose with very high accuracy regions of interest and their relative tumor grading in Whole Slide Images (WSIs), gigapixel scans of pathology glass slides [24]. This can support pathologists in clinical routine by reducing the size of the areas to analyze in detail and eventually highlighting missed or underestimated anomalies [3]. Without justifications for the decision-making, there is an opaque barrier between the model criteria and the clinical staff. Reducing such opaqueness is important to ensure the

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uptake of CNNs for sustained clinical use [22]. An already wide variety of off-the-shelf toolboxes has been proposed to facilitate the explanation of CNN decisions while keeping the performance untouched [2,14,17,19,5]. Among these, Local Interpretable Model-agnostic Explanations (LIME) are widely applied in radiology [16] and histopathology [20,15].

As argued by Sokol and Flach [19], enhancements of existing explainability tools are needed to provide machine learning consumers with more accessible and interactive technologies. Existing visualization methods present pitfalls that urge for improvement, as pointed out by the unreliability shown in [1,11]. LIME outputs for histopathology, for example, do not indicate any alignment of the explanations to clinical evidence and show high instability and scarce reproducibility [6]. Optimizing and reformulating this existing approach is thus a necessary step to promote its realistic deployment in clinical routines.

In this work, we propose to employ a better segmentation strategy that leads to sharper visualizations, directly highlighting relevant nuclei instances in the input images. The proposed approach brings improved understandability and reliability. Sharp-LIME heat maps appear more understandable to domain experts than the commonly used LIME and GradCAM techniques [18]. Improved reliability is shown in terms of result consistency over multiple seed initializations, robustness to input shifts, and sensitivity to weight randomizations. Finally, Sharp-LIME allows for direct interaction with pathologists, so that areas of interest can be chosen for explanations directly. This is desirable to establish trust [19]. In this sense, we propose a relevant step towards reliable, understandable and more interactive explanations in histopathology.

2 Methods

2.1 Datasets

Three publicly available datasets are used for the experiments, namely Camelyon 16, Camelyon 17 [13] and the breast subset of the PanNuke dataset [4]¹. Camelyon comprises 899 WSIs of the challenge collection run in 2017 and 270 WSIs of the one in 2016. Slide-level annotations of metastasis type (i.e. negative, macro-metastases, micro-metastases, isolated tumor cells) are available for all training slides, while a few manual segmentations of tumor regions are available for 320 WSIs. Breast tissue scans from the PanNuke dataset are included in the analysis. For these images, the semi-automatic instance segmentation of multiple nuclei types is available, allowing to identify neoplastic, inflammatory, connective, epithelial, and dead nuclei in the images. No dead nuclei are present, however, in the breast tissue scans [4]. Image patches of 224×224 pixels are extracted at the highest magnification level from the WSIs to build training, validation and test splits as in Table 1. To balance the under-representation, PanNuke input images were oversampled by five croppings, namely in the center, upper left, upper right, bottom left and bottom right corners. The pre-existing PanNuke

¹ camelyon17.grand-challenge.org and [jgamper.github.io/PanNukeDataset](https://github.com/jgamper/PanNukeDataset)

Table 1: Summary of the train, validation, internal and external test splits.

	Label	Cam16	Cam17 (5 Centers)					PanNuke (3 Folds)		
			C. 0	C. 1	C. 2	C. 3	C. 4	F. 1	F. 2	F. 3
Train	Neg.	12954	31108	25137	38962	25698	0	1425	1490	0
	Pos.	6036	8036	5998	2982	1496	0	2710	2255	0
Val.	Neg.	0	325	0	495	0	0	0	0	0
	Pos.	0	500	0	500	0	0	0	0	0
Int. Test	Neg.	0	0	274	483	458	0	0	0	1475
	Pos.	0	500	999	0	0	0	0	0	2400
Ext. Test	Neg.	0	0	0	0	0	500	0	0	0
	Pos.	0	0	0	0	0	500	0	0	0

folds were used to separate the patches in the splits. Reinhard normalization is applied to all the patches to reduce the stain variability.

2.2 Network Architectures and Training

Inception V3 [21] with ImageNet pre-trained weights is used for the analysis. The network is fine-tuned on the training images to classify positive patches containing tumor cells. The fully connected classification block has four layers, with 2048, 512, 256 and 1 neurons. A dropout probability of 0.8 and L2 regularization were used to avoid overfitting. This architecture was trained with mini-batch Stochastic Gradient Descent (SGD) optimization with standard parameters (learning rate of $1e^{-4}$, Nesterov momentum of 0.9). For the loss function, class-weighted binary cross-entropy was used. Network convergence is evaluated by early stopping on the validation loss with patience of 5 epochs. The model performance is measured by the average Area Under the ROC Curve (AUC) over ten runs with multiple initialization seeds, reaching 0.82 ± 0.0011 and 0.87 ± 0.005 for the internal and external test sets respectively.

Nuclei contours of the Camelyon input are extracted by a Mask R-CNN model [7] fine-tuned from ImageNet weights on the Kumar dataset for the nuclei segmentation task [12]. The R-CNN model identifies nuclei entities and then generates pixel-level masks by optimizing the Dice score. ResNet50 [7] is used for the convolutional backbone as in [10]. The network is optimized by SGD with standard parameters (learning rate of 0.001 and momentum of 0.9).

2.3 LIME and Sharp-LIME

LIME for Image Classifiers Defined by Ribeiro et al. [17] for multiple data classifiers, a general formulation of LIME is given by:

$$\xi(x) = \operatorname{argmin}_{g \in G} \mathcal{L}(f, g, \pi_x) + \Omega g \quad (1)$$

Eq. (1) represents the minimization of the explanatory infidelity $\mathcal{L}(f, g, \pi_x)$ of a potential explanation g , given by a surrogate model G , in a neighborhood defined

by $\pi_x(z)$ around a given sample of the dataset (x). The neighborhood is obtained by perturbations of x around the decision boundary.

For image classifiers, that are the main focus of this work, an image x is divided into representative image sub-regions called super-pixels using a standard segmentation algorithm, e.g. Quickshift [23]. Perturbations of the input image are obtained by filling random super-pixels with black pixels. The surrogate linear classifier G is a ridge regression model trained on the perturbed instances weighed by the cosine similarity ($\pi_x(z)$) to approximate the prediction probabilities. The coefficients of this linear model (referred to as *explanation weights*) explain the importance of each super-pixel to the model decision-making. Explanation weights are displayed in a symmetrical heatmap where super-pixels in favor of the classification (positive explanation weights) are in blue, and those against (negative weights) in red.

Previous improvements of LIME for histopathology proposed a systematic manual search for parameter heuristics to obtain super-pixels that visually correspond to expert annotations [20]. Consistency and super-pixel quality were further improved by genetic algorithms in [15]. Both solutions are impractical for clinical use, being either too subjective or too expensive to compute.

Sharp-LIME The proposed implementation of Sharp-LIME, as illustrated in Figure 1, uses nuclei contours as input super-pixels for LIME rather than other segmentation techniques. Pre-existing nuclei contour annotations may be used. If no annotations are available, the framework suggests automatic segmentation of nuclei contours by the Mask R-CNN. Manual annotations of regions of interest may also be drawn directly by end-users to probe the network behavior for specific input areas. For the super-pixel generation, the input image is split into

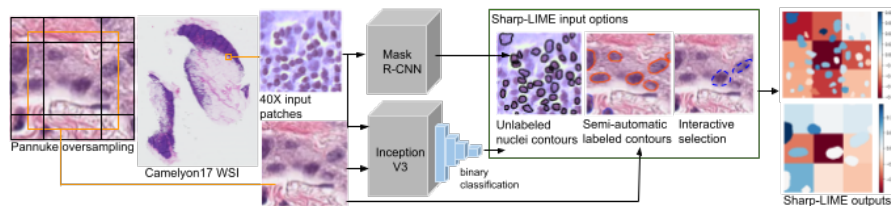


Fig. 1: Overview of the approach. An InceptionV3 classifies tumor from non-tumor patches at high magnification sampled from the input WSIs. Manual or automatically suggested nuclei contours (by Mask R-CNN) are used as input to generate the Sharp-LIME explanations on the right.

nuclei contours and background. The background is further split into 9 squares of fixed size. This splitting reduces the difference between nuclei and background areas, since overly large super-pixels may achieve large explanation weights by sheer virtue of their size. The code to replicate the experiments (developed with Tensorflow > 2.0 and Keras 2.4.0) is available at github.com/maragraziani/

sharp-LIME, alongside the trained CNN weights. Experiments were run using a GPU NVIDIA V100. A single Sharp-LIME explanation takes roughly 10 seconds to generate in this setting. 200 perturbations were used, as it already showed low variability in high explanation weight super-pixels, as further discussed in Section 3.

2.4 Evaluation

Sharp-LIME is evaluated against the state-of-the-art LIME by performing multiple quantitative evaluations. Not having nuclei type labels for Camelyon, we focused on the PanNuke data. We believe, however, that the results would also apply to other inputs. Sanity checks are performed, testing for robustness to constant input shifts and sensitivity to network parameter changes as in [1,11]. Spearman’s Rank Correlation Coefficient (SRCC) is used to evaluate the similarity of the ranking of the most important super-pixels. The cascading randomization test in [1] is performed by assigning random values to the model weights starting from the top layer and progressively descending to the bottom layer. We already expect this test to show near-zero SRCC for both techniques, since by randomizing the network weights, the network output is randomized as well as LIME and Sharp-LIME explanations. The repeatability and consistency for multiple seed initializations are evaluated by the SRCC, the Intraclass Correlation Coefficient (ICC) (two-way model), and the coefficient of variation (CV) of the explanation weights.

Additionally, we quantify domain appropriateness as the alignment of the explanations with relevant clinical factors [22]. The importance of a neoplastic nucleus, an indicator of a tumor [4], is measured by the sign and magnitude of the explanation weight. Descriptive statistics of the explanation weights are compared across the multiple types of nuclei in PanNuke. Pairwise non-parametric Kruskal tests for independent samples are used for the comparisons. A paired t-test is used to compare LIME weights obtained from a randomly initialized and a trained network, as suggested in [6].

3 Results

3.1 Improved Understandability

Qualitative evaluation by domain experts Figure 2 shows a qualitative comparison of LIME and Sharp-LIME for PanNuke and Camelyon inputs. For conciseness, only two examples are provided. An extended set of results can be inspected in the GitHub repository².

Five experts in the digital pathology domain with experience in CNN-based applications for clinical research purposes compared LIME, Sharp-LIME and Gradient Weighted Class Activation Mapping (Grad-CAM) [18] for a few images in this work. The experts generally use these visualizations to improve their

² (github.com/maragraziani/sharp-LIME)

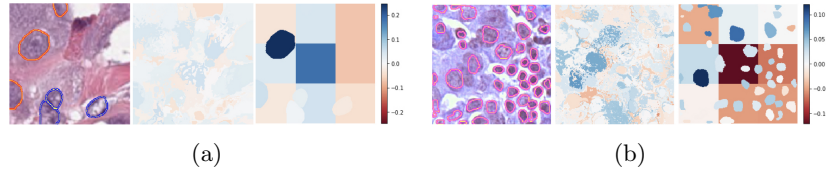


Fig. 2: From left to right, input image with overlaid nuclei contours, standard LIME and sharp LIME for a) a PanNuke and b) a Camelyon input image.

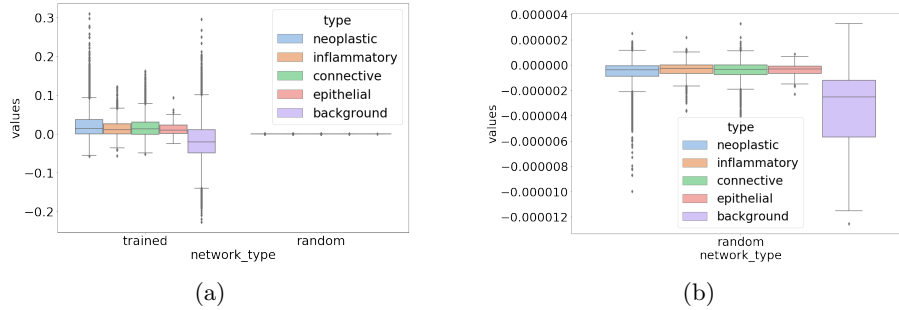


Fig. 3: a) Comparison between Sharp-LIME explanation weights for a trained and a randomly initialized CNN; b) Zoom on the random CNN in a). These results can be compared to those obtained for standard LIME in [6].

model understanding, particularly if the suggested diagnosis is different from theirs. Sharp-LIME was assessed as easier to understand than Grad-CAM and LIME by 60% of them. Two of the five experts further confirmed that these explanations help increasing their confidence in the model’s decision-making. While it is difficult to obtain quantitative comparisons, we believe this expert feedback, although subjective, is an essential evaluation.

3.2 Improved Reliability

Quantification of network attention We quantify the Sharp-LIME explanation weights for each of the functionally diverse nuclei types of the PanNuke dataset in Figure 3. As Figure 3a shows, the explanation weights of the neoplastic nuclei, with average value 0.022 ± 0.03 , are significantly larger than those of the background squared super-pixels, with average value -0.018 ± 0.05 . Explanation weights of the neoplastic nuclei are also significantly larger than those of inflammatory, neoplastic and connective nuclei (Kruskal test, p-value < 0.001 for all pairings). Sharp-LIME weights are compared to those obtained by explaining a random CNN, that is the model with randomly initialized parameters. The Sharp-LIME explanation weights for the trained and random CNN present significant differences (paired t-test, p-value < 0.001), with the explanations for the latter being almost-zero values as shown by the boxplot in Figure 3b.

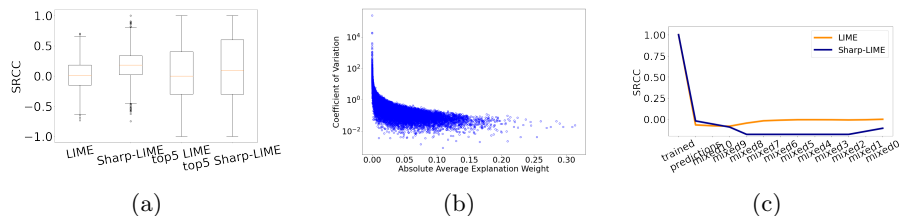


Fig. 4: a) SRCC of the entire and top-5 super-pixel rankings obtained over three re-runs with changed initialization. The means of the distributions are significantly different (paired t-test, p-value < 0.001); b) CV against average explanation weight for three re-runs with multiple seeds; c) SRCC of the super-pixel rankings obtained in the cascading randomization test

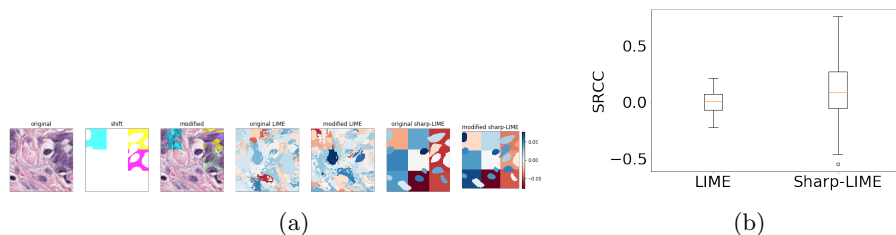


Fig. 5: Robustness to constant input shift. a) Qualitative evaluation for one PanNuke input image; b) SRCC of the super-pixel rankings for all PanNuke inputs.

Consistency The consistency of Sharp-LIME explanations for multiple seed initialization is shown in Figures 4a and 4b. The mean of LIME SRCC is significantly lower than that of Sharp-LIME, 0.015 against 0.18 (p-value < 0.0001). As Figure 4b shows, super-pixels with large average absolute value of the explanation weight are more consistent across re-runs of Sharp-LIME, with lower CV. We compare the SRCC of the five super-pixels with the highest ranking, obtaining average LIME explanation weights 0.029 and 0.11 for Sharp-LIME. The ICC of the most salient super-pixel in the image, i.e. first in the rankings, for different initialization seeds, further confirms the largest agreement of Sharp-LIME, with ICC 0.62 against the 0.38 of LIME. As expected, the cascading randomization of network weights shows nearly-zero SRCC in Figure 4c. A visual example of LIME robustness to constant input shifts is given in Figure 5a. The SRCC of LIME and Sharp-LIME is compared for original and shifted inputs with unchanged model prediction in Figure 5b. Sharp-LIME is significantly more robust than LIME (t-test, p-value < 0.001).

4 Discussion

The experiments evaluate the benefits of the Sharp-LIME approach against the standard LIME, showing improvements in the understandability and reliability

of the explanations. This improvement is given by the choice of a segmentation algorithm that identifies regions with a semantic meaning in the images. Differently from standard LIME, Sharp-LIME justifies the model predictions by the relevance of image portions that are easy to understand as shown in Figure 2. Our visualizations have higher explanation weights and show lower variability than standard LIME. The feedback from the domain-experts is encouraging (Section 3.2). Despite being only qualitative, it reinforces the importance of a feature often overseen in explainability development, namely considering the target of the explanations during development to provide them with intuitive and reliable tools. The quantitative results in Section 3.2 show the improved reliability of Sharp-LIME. Neoplastic nuclei appear more relevant than other nuclei types, aligning with clinical relevance. Since these nuclei are more frequent than other types in the data, the results are compared to a randomly initialized CNN to confirm that their importance is not due to hidden biases in the data (Figure 3). The information contained in the background, often highlighted as relevant by LIME or Grad-CAM [6], seems to rather explain the negative class, with large and negative explanation weights on average. Large Sharp-LIME explanation weights point to relevant super-pixels with little uncertainty, shown by low variation and high consistency in Figures 4b and 4a. The instability of LIME reported in [6] can therefore be explained by the choice of the segmentation algorithm, an observation in line with the work in [20].

The simplicity of this approach is also its strength. Our super-pixel choice of nuclei segmentation adds little complexity to the default LIME, being a standard data analysis step in various histopathology applications [8,9]. Extensive annotations of nuclei contours are not needed since automated contouring can be learned from small amounts of labeled data [8] (Figure 2b). Additionally, the users may directly choose the input super-pixels to compare, for example, the relevance of one image area against the background or other areas. Requiring only a few seconds to be computed, Sharp-LIME is faster than other perturbation methods that require a large number of forward passes to find representative super-pixels. For this reason, the technique represents a strong building-block to develop interactive explainability interfaces where users can visually query the network behavior and quickly receive a response.

The small number of available experts is a limitation of this study, which does not propose quantitative estimates of user confidence and satisfaction in the explanations. We will address this point in future user-evaluation studies.

5 Conclusions

This work shows important points in the development of explainability for healthcare. Optimizing existing methods to the application requirements and user satisfaction promotes the uptake and use of explainability techniques.

Our proposed visualizations are sharp, fast to compute and easy to apply to black-box histopathology classifiers by focusing the explanations on nuclei contours and background portions. Other image modalities may benefit from this

approach. The relevance of the context surrounding tumor regions, for example, can be evaluated in radiomics. Further research should focus on the specific demands of the different modalities.

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