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Interventions to improve care quality in long-term care facilities for older adults: An umbrella review protocol

Authors

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Abstract

Background: As part of sustained efforts to improve the quality of care and meet the challenges associated with ageing, Swiss long-term care facilities for older people are under a legal obligation to report quality indicators to the federal authorities. Whilst a vast amount of literature focuses on individual quality indicator areas, knowledge is scattered and not readily actionable, which makes it difficult for policymakers and practitioners in long-term care facilities to improve current practices based on the best available evidence. Against this backdrop, this umbrella review will identify and describe effective interventions to:

- a) improve monitoring, assessment, care, raise awareness, and/or reduce the prevalence of malnutrition, pain, or pressure ulcers in older adults residing in long-term care facilities;
- b) improve care practices by reducing polypharmacy or the use of physical restraints or by improving the coverage and effects of advance care planning or medication reviews in long-term care facilities for older adults.

Methods: We will follow the Joanna Briggs Institute (JBI) guidelines for umbrella reviews. We will include systematic reviews and meta-analyses based on empirical evidence published between 2013 and 2023. We will search the following databases: Medline (Ovid), CINHAL (EBSCO), PsycINFO (Ovid), Emcare (Ovid), Embase (Elsevier), Cochrane Library, JBI EBP Database (Ovid), Web of Science, Epistemonikos, and Google scholar as a supplementary resource; and Dissertations and Theses (Proquest) and MedNar for grey literature. Two independent reviewers will screen titles and abstracts then full texts of selected reviews, using inclusion criteria based on a Population–Interventions–Context–Outcomes (PICO) framework. We will assess methodological quality to include only high-quality reviews and use the standardised JBI tool to extract relevant data. A descriptive narrative summary will present main findings in relation to effective interventions in the areas of malnutrition, pain, pressure ulcers, polypharmacy, physical restraints, advance care planning, and medication reviews in long-term care facilities for older adults.

Discussion: Our findings will present a synthesis of the literature on key quality indicators areas, which will be helpful in guiding policymakers and practitioners in the development, implementation, and sustainment of evidence-based care quality improvement initiatives.

Review registration: The present protocol was registered on Zenodo on 26 May 2023.

Keywords: quality of health care; long-term care; aged; malnutrition; pain; pressure ulcer; polypharmacy; restraint, physical; advance care planning; medication review; umbrella review

Introduction

Background

Population ageing has been identified by the World Health Organization (WHO) as "the most important medical and social demographic problem worldwide" today (1), posing unprecedented challenges to healthcare systems across the world. Indeed, the fast growth of the population aged 60 and over, which is predicted to double to reach 2.1 billion by 2050 (2), brings about sharp rises in cognitive impairment, malnutrition, pain, frailty, and chronic inflammation, amongst other common geriatric conditions (2,3). Older adults living in long-term care facilities are particularly likely to experience advanced frailty, functional dependency, and multimorbidity (4–6). In Switzerland, about 80,000 or 5% of adults aged 65 or older and 14% of adults aged 80 or older are living in long-term care facilities (7), with an average admission age of 85 (8) and average length of stay of 2.4 years (9). The advanced age at admission is characterised by particularly complex health needs (10,11).

As part of sustained efforts to monitor, evaluate, and ultimately improve the quality of care and meet the challenges associated with ageing, Swiss long-term care facilities for older people are under a legal obligation to report medical quality indicators to the federal authorities (12). Since 2019, the following indicators must be reported: malnutrition (measured through recent weight loss), physical restraints (i.e., bedrails or trunk fixation/seating that prevents residents from rising), polypharmacy (defined as taking at least nine different active substances), and pain (both self-reported and observed by an assessor). Additional quality indicators will be introduced in the next few years, namely pressure ulcers, advance care planning, and medication reviews. As such, Swiss quality indicators cover common geriatric conditions (malnutrition, pain, and pressure ulcers) and care practices (physical restraints, polypharmacy, advance care planning, and medication reviews).

Interventions of interest

The umbrella review will identify and describe effective interventions and potential knowledge gaps pertaining to the thematic areas covered by the Swiss quality indicators. More specifically, it will focus on interventions seeking to:

- a. improve monitoring, assessment, care, raise awareness, and/or reduce the prevalence of malnutrition, pain, or pressure ulcers;
- b. improve care practices by reducing polypharmacy or the use of physical restraints (without inappropriate chemical restraints) or by improving the coverage and effects of advance care planning or medication reviews in long-term care facilities for older adults.

Justification of review design and relevance

We will conduct an umbrella review following the Joanna Briggs Institute (JBI) guidelines (13). Umbrella review results can assist policymakers and practitioners in gaining a better understanding of complex thematic areas and support their decision making (14,15). This echoes the broad aim of the present work, which is conducted as part of a large participatory programme aiming to guide Swiss long-term care facilities in improving care quality based on data (National Implementation Programme – Strengthening quality of care in partnership with residential long-term care facilities for older people 2022–2026, NIP-Q-UPGRADE). By presenting a unified synthesis of the latest evidence available, this umbrella review will offer an avenue to support policymakers and practitioners in long-term care facilities in making informed decisions on how to improve care quality.

Moreover, the umbrella review design is recommended when multiple up-to-date systematic reviews and meta-analyses (collectively refer to as "reviews" hereafter) are available (16). A preliminary literature search, detailed below, confirmed that a suitable number of recent reviews is available. An initial search for existing umbrella reviews on our topics of interest on Ovid MEDLINE, JBI EBP Database, EPPI, Epistemonikos and PROSPERO further confirms the need for and originality of the present work – as it yielded two relevant umbrella reviews on two single care quality areas: malnutrition (17) and medication review (18).

A preliminary search for reviews published within the last 10 years on Ovid Medline yielded 264 results (as detailed in Annex 1). Through preliminary title and abstract screening, we preidentified a broad range of potentially relevant interventions. For instance, reviews identify different types of interventions that positively impact **nutrition**, including communal dining, dining room enhancement (19), and supplements and food-based nutrition interventions (20– 22). Effective **pain reduction and management** strategies include analgesics (23), staff education, supervision, and mentoring (24), and group-based cognitive behaviour therapy (25). Reviews also highlight that prevention programmes using advanced mattresses and overlays or protein and energy supplements are effective in reducing **pressure ulcers** (26); and that whilst repositioning is widely recommended and used, it lacks a firm evidence-base (27).

Reviews on **physical restraints** present contrasting conclusions regarding staff educational interventions, considered as promising but inconclusive by some (28,29), and as effective and delivering improvements overtime when sustained through ongoing education or support by others (24,30). Regarding **polypharmacy**, reviews identify a vast array of effective interprofessional interventions involving pharmacists or pharmacist-led interventions including medication review, staff education, and medication simplification (31–34); and interventions to

optimise prescribing, such as multidisciplinary case-conferencing and clinical decision support technology (35–37).

Two reviews identify staff training, train-the-trainer approaches, and the provision of decision aids to carers as effective in increasing **advance care planning** practice (38,39). By contrast, a third finds limited evidence for the effectiveness of staff training (40). Lastly, several reviews discuss the clinical outcomes of **medication review**, presented for instance as an effective strategy to enhance medication safety (18).

Overall, whilst a vast amount of literature focuses on individual areas of interest, knowledge is scattered and not readily actionable, which makes it difficult for policymakers and practitioners in long-term care facilities to improve current practices based on the best available evidence. Moreover, across all areas, several reviews point out that variety in study designs and heterogeneity in data quality prevent robust conclusions to be drawn. Therefore, a synthesis of the literature on all areas of interest would be particularly relevant and helpful in guiding policymakers and practitioners in the development, implementation, and sustainment of evidence-based care quality improvement initiatives (4,5).

Review question

What are effective interventions to:

(a) improve monitoring, assessment, care, raise awareness, and/or reduce the prevalence of malnutrition, pain, or pressure ulcers in older adults residing in long-term care facilities;

(b) improve care practices by reducing polypharmacy or the use of physical restraints or by improving the coverage and effects of advance care planning or medication reviews in long-term care facilities for older adults?

Inclusion Criteria

Population

This review will focus on older people living in long-term care facilities. We define long-term care facilities following the WHO, as "establishments primarily engaged in providing residential long-term care that combines nursing, supervisory or other types of care as required by the residents" (41). These are sometimes referred to as "care homes," "nursing homes," "residential homes," "residential facilities," "homes for the aged," "institutional care facilities," or "skilled nursing facilities," amongst other terms.

Reviews will be excluded if they focus on community settings or other care settings (e.g., inpatient, ambulatory, assisted living, short-stay, respite care). Reviews that focus on long-term care facilities that are not primarily for older adults (e.g., for people with a mental health condition) will also be excluded. Reviews focusing on mixed settings will be included if findings pertaining to long-term care facilities are presented separately.

We will apply age filters of 65 + to our search, as this is a widely used cut-off in scientific literature databases. Reviews that consider mixed age groups will be included if findings pertaining to older people (i.e., 65+) are presented separately. We will also include reviews focusing on specific populations or problematics (e.g., older people with dementia or with palliative care).

Interventions of interest

We will consider interventions seeking to

a. improve monitoring, assessment, care, raise awareness, and/or reduce the prevalence of:

- 1. malnutrition
- 2. pain
- 3. pressure ulcers

b. improve care practices by:

- 4. reducing polypharmacy
- 5. reducing the use of physical restraints
- 6. improving the coverage and effects of advance care planning
- 7. improving the coverage and effects of medication reviews

We will not restrict our search to specific definitions of the above concepts but will consider all definitions reported in reviews.

We will include reviews reporting on interventions as well as perceptions of a problematic of interest (e.g., healthcare professionals' perceptions of polypharmacy), and on the cost-effectiveness or economic aspects of specific interventions. We will also include reviews that evaluate or describe one of the quality indicator areas of interest (i.e., based on non-interventional studies) if they are aligned with our research question.

Moreover, the literature has shown that the relational dimension of care is key to understanding how long-term care facilities may deliver high-quality care for their residents, and is a main determinant to residents' quality of life, meaning in life, and will to live (42–45). As such, we will pay careful attention to this dimension in our review, reporting how it may be addressed though specific intervention components for instance.

Context

We will not apply geographical restrictions. We will consider reviews published in English, French, German, Italian, Spanish, Dutch, Portuguese, and Polish for inclusion, based on the language skills of the research team.

Outcomes

All outcomes reported in the included reviews will be considered, including, but not limited to:

1. malnutrition: body weight, body mass index, protein and energy intake, mid arm or calf circumference

2. pain: prevalence of pain, pain scale ratings, healthcare professionals' perceptions of pain, pain assessment and monitoring instruments

3. pressure ulcers: pressure ulcer incidence, prevalence, healing time

4. polypharmacy: prevalence of polypharmacy, irrespective of the definition of polypharmacy applied

5. physical restraints: prevalence of restraint use, especially bedrails, trunk fixation, and seating not allowing the resident to rise; healthcare professionals' perceptions of restraints use

6. advance care planning: prevalence of advance care planning, effects of advance care planning

7. medication reviews: prevalence of medication reviews, effects of medication reviews

For each outcome area, adverse outcomes such as serious adverse events will systematically be reported.

Types of publications

Systematic reviews and meta-analyses based on empirical evidence will be included – whether they include primary studies based on quantitative, qualitative, or mixed methodologies.

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We will exclude reviews focusing on primary studies based on non-empirical evidence such as opinion papers or theoretical studies.

We will focus on reviews published within the past 10 years, namely 2013-2023, to yield the most recent available evidence with our search.

Search strategy

Our information specialist (BK) will develop specific search strategies for each database, with input from the research team (co-authors). Keywords will include (but will not be limited to): "long-term care," "nursing homes," "pain," "pain management," "restraint, physical", "malnutrition," "polypharmacy," "pressure ulcer," "advance care planning," "medication review," "systematic review," and "meta analysis." We will also apply the inclusion criteria stated above. An example for the search algorithm developed for Ovid Medline can be found in Annex 1.

Sources/databases

We will search the following databases: Medline (Ovid), CINHAL (EBSCO), PsycINFO (Ovid), Emcare (Ovid), Embase (Elsevier), Cochrane Library, JBI EBP Database (Ovid), Web of Science, Epistemonikos, and Google scholar as a supplementary resource (46). For Google scholar, our search will focus on the first few relevant result pages. We will also search for grey literature in Dissertations and Theses (Proquest) and MedNar. The reference lists of all included reviews will be searched for additional reviews. Cross-referencing will be processed in Google scholar to select additional reviews.

Study selection

Our search results will be exported to the Covidence software. Duplicates will be removed. We will develop a selection grid with the above-discussed inclusion and exclusion criteria. We will pilot our screening process based on titles and abstracts on 2.5% of our references (15), selected randomly, and adjust as necessary. Two independent reviewers (hereafter: VdG and EP for English and French results; other co-authors for additional languages) will screen titles and abstracts. Disagreements will be solved by consensus. If some doubts persist and cannot be fully addressed through discussion between the two initial reviewers, they will be transferred to and solved by a third reviewer (hereafter: NW, FZ, LC, COB, or other co-author, depending on language knowledge and availability).

We will retrieve the full text of all pre-selected articles and add their PDF version to a Zotero library dedicated to the review. If full text cannot be retrieved electronically, we will contact our team of librarians, then contact corresponding authors to attempt to find all selected articles. We will examine all full texts against our inclusion and exclusion criteria. This process will be performed by two independent reviewers, who will each decide whether an article should be included or not and select the most relevant exclusion criterion. Reasons for exclusion will be recorded and reported. Agreement needs to be reached on the main exclusion criterion. As in the titles and abstracts screening phase, disagreements will be solved by consensus or referred to a third reviewers if no consensus can be reached.

The search and study selection process will be presented in a Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) flow diagram (47).

Assessment of methodological quality

We will assess the methodological quality of all selected reviews to include only high-quality work. We will use the JBI critical appraisal checklist for systematic reviews and research synthesis to do so. Prior to starting the critical appraisal process, the review team will discuss how to use the tool (e.g., whether we assign different weights to individual items, which cut-off to adopt to decide whether a study should be included or excluded).

Two reviewers will critically appraise each selected review independently and compare their results once the article has been fully appraised by both. As in previous steps, potential disagreements will first be discussed in view of reaching a consensus. If consensus cannot be reached, a third reviewer will be contacted.

Data collection

We will use the standardised JBI data extraction tool to extract relevant data from our selected reviews, pertaining to authors, publication year, review objectives, participants, settings, description of interventions, search details and sources, number and type of studies included, appraisal, analysis, outcomes, findings, and significance, amongst other criteria.

The extraction tool and process will be piloted by two reviewers on 5% of selected reviews (15), randomly selected, which may lead to adaptations of the data extraction tool if relevant. Extraction will be conducted independently by two reviewers. Potential disagreements will be solved through discussion and consultation with a third reviewer whenever necessary.

Data summary

We will present the results of included reviews in tabular form. A descriptive narrative summary will further present main findings in relation to effective interventions in the areas of malnutrition, pain, pressure ulcers, polypharmacy, physical restraints, advance care planning, and medication reviews in long-term care facilities for older adults.

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Conflict of interest

There is no conflict of interest to report.

Dissemination

Upon completion, this umbrella review will be submitted for publication to a scientific, peerreviewed journal.

This review's main findings will also inform a programme of quality improvement interventions in long-term care facilities for older people.

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Annex 1: Search Algorithm

Database: Ovid MEDLINE(R)

Malnutrition

1		71040
1	exp Nursing Homes/ or Homes	71940
	for the Aged/ or Long-Term	
	Care/	
2	("long-term care" or "home*	109724
	for the aged" or "nursing	
	home*" or "residential home*"	
	or "residential facilit*" or	
	"nursing facility*" or	
	"institutional care" or "skilled	
	nursing facilit*" or "care	
	home*" or "residential care" or	
	"residential aged care" or	
	"aged care" or "institutional	
	elderly care").mp.	
3	1 or 2	110090
4	exp Malnutrition/	134565
5	("malnutrition" or "nutritional	92269
	deficienc*" or "undernutrition"	
	or "malnourishment" or	
	"protein intake*" or "protein	
	deficienc*" or "caloric intake*"	
	or "caloric deficienc*").mp.	
6	4 or 5	192224
7	3 and 6	1923
8	exp "Systematic Review"/ or	865093
	exp exp "Systematic Review"/	
	or exp Meta-Analysis/ or	
	"systematic review".mp. or	
	"meta-analysis".mp. or	
	review.ti. or ("systematic	
	review" or "meta-analysis").pt.	
9	7 and 8	136
10	limit 9 to ("all aged (65 and	56
	over)" and last 10 years)	

Pain

1	exp Nursing Homes/ or Homes for the Aged/ or Long-Term Care/	71940
2	("long-term care" or "home* for the aged" or "nursing	109724

	home*" or "residential home*" or "residential facilit*" or "nursing facility*" or "institutional care" or "skilled nursing facilit*" or "care home*" or "residential care" or "residential aged care" or "aged care" or "institutional elderly care").mp.	
3	1 or 2	110090
4	exp Pain/ or Pain Management/ or exp Analgesia/	494826
5	("pain" or "pain management" or analgesia*).mp.	887413
6	4 or 5	979729
7	3 and 6	3639
8	exp "Systematic Review"/ or exp Meta-Analysis/ or "systematic review".mp. or "meta-analysis".mp. or review.ti. or ("systematic review" or "meta-analysis").pt.	865093
9	7 and 8	185
10	limit 9 to ("all aged (65 and over)" and last 10 years)	49

Pressure ulcers

1	exp Nursing Homes/ or Homes for the Aged/ or Long-Term Care/	71940
2	("long-term care" or "home* for the aged" or "nursing home*" or "residential home*" or "residential facilit*" or "nursing facility*" or "institutional care" or "skilled nursing facilit*" or "care home*" or "residential care" or "residential aged care" or "aged care" or "institutional elderly care").mp.	109724
3	1 or 2	110090
4	exp Pressure Ulcer/	13815

5	("pressure ulcer*" or	22143
	"bedsore*" or "pressure sore*"	
	or decubitus).mp.	
6	4 or 5	22143
7	3 and 6	1801
8	exp "Systematic Review"/ or exp exp "Systematic Review"/ or exp Meta-Analysis/ or "systematic review".mp. or "meta-analysis".mp. or review.ti. or ("systematic review" or "meta-analysis").pt.	865093
9	7 and 8	101
10	limit 9 to ("all aged (65 and over)" and last 10 years)	30

Polypharmacy

1	exp Nursing Homes/ or Homes	71940
-	for the Aged/ or Long-Term	
	Care/	
2	("long-term care" or "home*	109724
-	for the aged" or "nursing	
	home*" or "residential home*"	
	or "residential facilit*" or	
	"nursing facility*" or	
	"institutional care" or "skilled	
	nursing facilit*" or "care	
	home*" or "residential care" or	
	"residential aged care" or	
	"aged care" or "institutional	
	elderly care").mp.	
3	1 or 2	110090
4	exp Polypharmacy/	6597
5	("polypharmacy or	18864
	polymedication" or "multiple	
	medication*" or "multiple	
	medicine*" or "multiple drug*"	
	or "many medication*" or	
	"many medicine*" or "many	
	drug*").mp.	
6	4 or 5	24890
7	3 and 6	698
8	exp "Systematic Review"/ or	865093
	exp exp "Systematic Review"/	
	or exp Meta-Analysis/ or	
	"systematic review".mp. or	
	"meta-analysis".mp. or	
	review.ti. or ("systematic	
	review" or "meta-analysis").pt.	

9	7 and 8	69
10	limit 9 to ("all aged (65 and	33
	over)" and last 10 years)	

Physical restraints

1	exp Nursing Homes/ or Homes for the Aged/ or Long-Term Care/	71940
2	("long-term care" or "home* for the aged" or "nursing home*" or "residential home*" or "residential facilit*" or "nursing facility*" or "institutional care" or "skilled nursing facilit*" or "care home*" or "residential care" or "residential aged care" or "aged care" or "institutional elderly care").mp.	109724
3	1 or 2	110090
4	Restraint, Physical/	12597
5	("physical restraint" or "bed barrier*" or "bedrail*" or "bed rail*" or belt* or fixat*).mp.	280996
6	4 or 5	292392
7	3 and 6	995
8	exp "Systematic Review"/ or exp exp "Systematic Review"/ or exp Meta-Analysis/ or "systematic review".mp. or "meta-analysis".mp. or review.ti. or ("systematic review" or "meta-analysis").pt.	865093
9	7 and 8	49
10	limit 9 to ("all aged (65 and over)" and last 10 years)	13

Advance care planning

1	exp Nursing Homes/ or Homes for the Aged/ or Long-Term Care/	71940
2	("long-term care" or "home* for the aged" or "nursing home*" or "residential home*" or "residential facilit*" or "nursing facility*" or "institutional care" or "skilled nursing facilit*" or "care	109724

	home*" or "residential care" or	
	"residential aged care" or	
	"aged care" or "institutional	
	elderly care").mp.	
3	1 or 2	110090
4	exp Advance Care Planning/	11094
5	("advance care planning" or	9857
	"advance health care planning"	
	or "advance directive" or "goals	
	of care" or POLST or "physician	
	order for life-sustaining	
	treatment").mp.	
6	4 or 5	15507
7	3 and 6	1413
8	exp "Systematic Review"/ or	865093
	exp exp "Systematic Review"/	
	or exp Meta-Analysis/ or	
	"systematic review".mp. or	
	"meta-analysis".mp. or	
	review.ti. or ("systematic	
	review" or "meta-analysis").pt.	
9	7 and 8	69
10	limit 9 to ("all aged (65 and	16
	over)" and last 10 years)	

Medication review

1	exp Nursing Homes/ or Homes for the Aged/ or Long-Term	71940
	Care/	
2	("long-term care" or "home* for the aged" or "nursing home*" or "residential home*" or "residential facilit*" or "nursing facility*" or "institutional care" or "skilled nursing facilit*" or "care home*" or "residential care" or "residential aged care" or "aged care" or "institutional elderly care").mp.	109724
3	1 or 2	110090
4	exp Medication Review/ or	3808
	Deprescriptions/ or	
	Medication Therapy	
	Management/	

5	("medication review*" or	7100
	"deprescription*" or	
	deprescribing or "potentially	
	inapropriate medication*" or	
	"medication therapy	
	management").mp.	
6	4 or 5	7100
7	3 and 6	684
8	exp "Systematic Review"/ or	865093
	exp Meta-Analysis/ or	
	"systematic review".mp. or	
	"meta-analysis".mp. or	
	review.ti. or ("systematic	
	review" or "meta-analysis").pt.	
9	7 and 8	149
10	limit 9 to ("all aged (65 and	67
	over)" and last 10 years)	